

PATENT  
Docket H 3799 PCT/US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of Mueller et al.

**International Application No.** PCT/EP99/05785

**International Filing Date:** August 10, 1999

Serial No. 10/030,038

Examiner: To be assigned

Filed: To be assigned

Art Unit: To be assigned

Confirmation No. 8946

TITLE: METHOD FOR PERMANENTLY SHAPING KERATIN FIBRES, AND AGENTS

"Express Mail Post Office to Addressee" service mailing label number EV 105943239 US

**TRANSMITTAL LETTER FOR SECOND SUBMISSION**  
**UNDER 35 USC §371 AND RESPONSE TO NOTICE OF MISSING PARTS**

Box PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

Attn: DO/EO/US

Sir:

Transmitted herewith for filing in the above-identified patent application is:

- ☐ An Amendment Responsive to the Office Action Dated \_\_\_\_\_.
- ☒ An Amendment Supplemental to the Preliminary Amendment filed January 3, 2002.
- ☐ Other: \_\_\_\_\_.
- ☐ \_\_\_\_\_ Sheet of Proposed Corrected Informal Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: \_\_\_\_\_  
\_\_\_\_\_ is enclosed.

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☐ An Associate Power of Attorney is enclosed.

☒ Information Disclosure Statement.

☒ Attached Form 1449.

☒ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.

☐ Appended Material as follows: \_\_\_\_\_.

☒ Other Material as follows: Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), and International Search Report.

☒ Executed Declaration (1 originals), (3 pgs. total) in response to Notice of Missing Parts\_\_\_\_\_.

☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for responding to the Notification of Missing Requirements mailed \_\_\_\_\_ to and through \_\_\_\_\_, comprising an extension of the shortened statutory period of \_\_\_ month(s).

☐ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 01-1250, Order No. \_\_\_\_\_.

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# FEE CALCULATION

[ ] No Additional Fee is Due.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	21	20 (20 MINIMUM)	1	\$9 EACH	\$	\$18 EACH	\$ 18 Order No. 02-0284
INDEP. CLAIMS	4	3 (3 MINIMUM)	1	\$42 EACH	\$	\$84 EACH	\$ 84 Order No. 02-0280
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ )	minus	(\$ )
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input checked="" type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS: Missing Parts 37 CFR 1.492(e) <u>Deposit Account No. 01-1250 - Order No. 02-0275</u>							\$130
TOTAL FEE DUE							\$232.00

05/17/2002 15:07:00 00000004 011250 10030030

02 02-0284 18.00 CH  
02 02-0280 84.00 CH

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PATENT

[X] The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to Deposit Account No. 01-1250, Order No. \_\_\_\_.

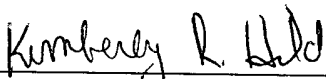
[X] The Foregoing Amount Due for Filing this Paper.

[X] Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

[X] Any additional patent application processing fees under 37 C.F.R. 1.17.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to notify the undersigned.

Respectfully submitted,

  
\_\_\_\_\_  
Kimberly R. Hild  
(Reg. No. 39,224)  
Attorney for Applicant  
(610) 278-4964

Date May 20, 2002  
Henkel Corporation Patent Law Dept.  
2500 Renaissance Boulevard, Suite 200  
Gulph Mills, PA 19406.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/030,038	FIRST NAMED APPLICANT Burkhard MUELLER	ATTY. DOCKET NO. H 3799 PCT/US
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*See prior notes - 5/20/02*

INTERNATIONAL APPLICATION NO. PCT/EP99/05785	
I.A. FILING DATE 08/10/1999	PRIORITY DATE 07/03/1999

Henkel Corporation Law  
2500 Renaissance Blvd  
Suite 200  
Gulph Mills, PA 19406

RECEIVED

MAR 25 2002

HENKEL LAW DEPT.

CONFIRMATION NO. 8946  
371 FORMALITIES LETTER  
\*OC00000007656148\*

Date Mailed: 03/20/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,038	PCT/EP99/05785	H 3799 PCT/US

FORM PCT/DO/EO/905 (371 Formalities Notice)

05/17/2002 SHAJARRO 00000004 011250 10030038

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